

Name of Account Holder	Social security number (SSN) or Employer identification number (EIN)
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Address of Account Holder (Number, Street, City or Town, State, ZIP code)

Definition of Penalty Statutes

1. **Foreign Financial Agency Transaction Violation—willful failure to meet recordkeeping requirements and/or report a foreign account on Department of the Treasury Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts:** 31 USC 5321(a)(5) and 31 CFR sections 1010.350, 1010.420 and 1010.820(g)(2) (formerly 31 CFR 103.24, 103.32 and 103.57(g)(2))
2. **Foreign Financial Agency Transaction Violation— failure to meet recordkeeping requirements and/or failure to report a foreign account on Department of the Treasury Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts:** 31 USC 5321(a)(5) and 31 CFR sections 1010.350, 1010.420 and 1010.820(g)(2) (formerly 31 CFR 103.24, 103.32 and 103.57(g)(2))
3. **Negligent Failure to Report:** 31 USC 5321(a)(6) and 31 CFR sections 1010.350 and 1010.820(h) (formerly 103.24 and 103.57(h)).
4. **Negligent Failure to Meet Recordkeeping Requirements:** 31 USC 5321(a)(6) and 31 CFR sections 1010.420 and 1010.820(h) (formerly 103.32 and 103.57(h)).
5. **Pattern of Negligent Activity:** 31 USC section 5321(a)(6)(B).

Total proposed penalty (from Page 2 of 2)	\$
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Signature Authorization	I consent to the immediate assessment and collection of the penalty amount specified above plus any interest and penalty as provided by law.
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Your signature	Date signed
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Representative's signature (valid only with Power of Attorney attached)	Date signed
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Name of Entity (for corporations, partnerships, trusts, etc., when EIN specified above)

Signature of Authorized Officer	Title	Date signed
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Signature of Authorized Officer	Title	Date signed
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Name of Examiner	Employee ID number	Office	Date (mmddyyyy)
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Name of Supervisor	Employee ID number	Office	Date (mmddyyyy)
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Foreign Account Penalty Information		Name of Account Holder	Account Holder ID (EIN or SSN)
1 Calendar year _____	Foreign Bank, Institution, or Agent(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Amount of penalty \$ _____
Maximum value of account \$ _____	Foreign Account number(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Amount of penalty \$ _____
2 Calendar year _____	Foreign Bank, Institution, or Agent(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Amount of penalty \$ _____
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3 Calendar year _____	Foreign Bank, Institution, or Agent(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Amount of penalty \$ _____
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4 Calendar year _____	Foreign Bank, Institution, or Agent(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Amount of penalty \$ _____
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6 Calendar year _____	Foreign Bank, Institution, or Agent(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Amount of penalty \$ _____
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TOTAL proposed penalty (Enter here and on Page 1 of 2.)



\$ _____